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PATENT & TRADEMARK OFFICE

PATENT 2143

CERTIFICATE OF MAILING

I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date listed below.

Date: 9/15/2004 Lisa L. Pringle

Lisa L. Pringle

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)	:	Brian L. Felsman, et al.
Serial No.	:	09/608,614
Filing Date	:	June 30, 2000
For	:	System and Method for Reliable Multicast Data Distribution Over an Unreliable Packet Switched Network
Art Unit	:	2143
Examiner	:	M. Kianersi
Attorney Docket	:	NG(MS)7166

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

1. Pursuant to 37 CFR §1.97 and §1.98, and in compliance with CFR §1.56, the Office's attention is directed to the patents, pending applications, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed. Applicant(s) make no admission that the enclosed documents are prior art to the present invention.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a corresponding U.S. Patent is enclosed, or an English abstract, or a concise explanation of the relevance of the document is set forth on an attached sheet.

3. ☒ Pursuant to 37 CFR §1.97(b) this Statement is being filed (one must be checked):
 - (a) ☐ Within three months of the filing date or date of entry into the National Stage.
 - (b) ☒ Before the mailing date of a first Office Action on the merits.

4. ☐ Pursuant to the period set forth in 37 CFR §1.97(c) this Statement is being filed before the mailing date of either a final action or a notice of allowance or an action that otherwise closes prosecution in the application. The required certification and fee is indicated below.
 - a) ☐ A certification is given below,
 - b) ☐ Enclosed is a check covering the fee ($180.00) set forth in §1.17(p) for consideration of this Statement, or
 - c) ☐ Charge the fee set forth in 37 CFR §1.17(p) to Deposit Account No. 20-0090.

5. ☐ Pursuant to the period set forth in 37 CFR §1.97(d) this Statement is being filed after the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. The required certification and fee is indicated below.
 - a) ☐ Enclosed is a check

covering the fee set forth in 37 CFR §1.17(p) $180, or

b) ☐ Charge the fee set forth in 37 CFR §1.17(p) to Deposit Account No. 20-0090.

6. ☒ Certification (if applicable):

(a) ☒ The undersigned hereby certifies that each item of information contained in this Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.

(b) ☐ The undersigned hereby certifies that no item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the undersigned's knowledge after making reasonable inquiry no item of information contained in the Statement, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Statement.

7. ☒ The Commissioner is hereby authorized to charge any additional fees, fees underpaid, or credit any overpayment with regard to this Statement to Deposit Account No. 20-0090.

8. Concise Explanation (if needed):

(a) ☒ Below is a Concise Statement of Relevance of enclosed non-English language document(s).

U.S. PATENT DOCUMENTS

U.S. Patent No. 6,717,915 B1

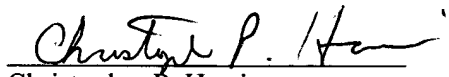
FOREIGN PATENT DOCUMENTS

JP 9-149029 (1997)	English version Patent Abstracts of Japan attached
JP 2000-115196 (2000)	U.S. counterpart attached

OTHER DOCUMENTS

TOKIO KIKUCHI, 97-DSM-8-6, *Data Processing and Mbone Dissemination Experiment of Meteorological Satellite GMS-5 Images*, IPSJ SIG Notes, November 21, 1997, Vol. 97, No. 111, pp. 31-36 (English statement of relevance attached)

Respectfully submitted,



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Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	1

Complete if Known	
Application Number	09/608,614
Filing Date	30 June 2000
First Named Inventor	Brian L. Felsman
Art Unit	2134
Examiner Name	M. Kianersi
Attorney Docket Number	NG(MS)7166

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.[1]	Document Number Number-Kind Code [2] (If Known)	Publication Date MM-DD-YYYY	Name Of Patentee Or Applicant Of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear
		US-6,717,915 B1	0-06-2004	Liao, et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.[1]	Foreign Patent Document Country Code-Number-Kind Code (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T[6]
		JP 9-149029	06-06-1997	Fujitsu Ltd.	English version attached	
		JP 2000-115196	04-21-2000	Openwave Systems, Inc.	English version attached	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published	T[2]
		Patent Abstracts of Japan, Publication No. 09-149029, Published 06-06-1997, Applicant Fujitsu Ltd.	
		TOKIO KIKUCHI, 97-DSM-8-6, *Data Processing and Mbone Dissemination Experiment of Meteorological Satellite GMS-5 Images*, IPSJ SIG Notes, November 21, 1997, Vol. 97, No. 111, pp. 31-36 (English statement of relevance attached)	

Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, Washington, DC 20231.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.